
Gifts, Benefits and Hospitality Policy



May 2019







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Gifts, Benefits and Hospitality Policy

Administration Policy

Responsible director	Director Corporate Services
Responsible officer	Manager Governance & Civic Support
Functional area	Governance
Date reported to EMAH	30 April
Date adopted by ELT	28 May 2019
Review date	28 May 2023

Purpose

This policy clearly outlines the Northern Grampians Shire Council's position on responding to offers of gifts, benefits and hospitality to ensure transparency and accountability.

Background

Councillors, officers and others bound by this policy (individuals) may be offered gifts, benefits and hospitality during the course of their duties. These can range from token offers such as tea or coffee which are generally considered a basic courtesy to non-token offers that risk impartiality and integrity if accepted.

The council is committed to and will uphold the following principles in applying this policy:

Impartiality - individuals have a duty to place the public interest above their private interests when carrying out their official functions. They **will not accept** gifts, benefits or hospitality that could raise a reasonable perception of, or actual, bias or preferential treatment. Individuals will not accept offers from those about whom they are likely to make business decisions.

Accountability - individuals are accountable for declaring all offers and declining non-token offers of gifts, benefits and hospitality.

Integrity - individuals strive to earn and sustain public trust through responding to offers of gifts, benefits and hospitality in a manner that is consistent with community expectations. Individuals **will refuse** any offer that may lead to an actual, perceived or potential conflict of interest.

Risk-based approach - the council, through its policy, processes and audit committee reporting will ensure risks from gifts, benefits and hospitality are appropriately assessed and managed.

Policy

Whenever possible, gifts, benefits and hospitality should **not** be accepted.

Individuals **must**:

1. **not seek or solicit** gifts, personal benefits or hospitality for themselves or others by virtue of their position or in appreciation of services rendered.
2. **refuse** all gifts, benefits and hospitality that:
 - are money (of any amount), items used in a similar way to money or items easily converted to money, eg tokens, gift cards, vouchers
 - give rise to an actual, perceived or potential conflict of interest
 - if accepted is likely to influence them, or be perceived to influence them, in the course of their duties
 - may adversely affect their standing as a public official or which may bring the Council into disrepute
 - do not have a legitimate business benefit
 - extend to their relatives or friends.
3. **declare all** offers of gifts, benefits and hospitality, regardless of their value, and regardless of whether or not they have been accepted or refused.
4. **transfer** all ceremonial and official gifts, including any gift of cultural significance or significant value accepted on behalf of council, irrespective of value, to the Manager Governance & Civic Support to declare in the register. All official, ceremonial and other significant gifts belong to Council.

Attempted bribery or suspected inducements must be refused and reported to the Director Corporate Services who will report any criminal or corrupt conduct to Victoria Police or the Independent Broad-based Anti-corruption Commission (IBAC).

The policy is supported by the [Gifts, Benefits and Hospitality Guidelines](#) which are designed to help individuals to apply the accountabilities within this policy for managing gifts, benefits and hospitality.

Recording

All offers, whether accepted or declined must be reported via the gift declaration form in elementORG within 14 days of the offer. The declaration will be assessed and a decision made on the acceptance of the offer. The register, with restricted access, will be maintained by the Governance Team and monitored by the Manager Governance & Civic Support.

Reporting

The Director Corporate Services will report to the Executive Leadership Team and Audit Committee annually on the administration and quality control of the policy, processes and register. The report will include an analysis of any trends and risks or vulnerabilities identified (including multiple offers from the same source and offers from business associates), risk mitigation measures and any proposed improvements.

Council's gift register will be published on its website and will contain information on all offers over \$50.00 and a de-identified subset of personal information detailed in the internal register to comply with privacy laws.

Breaches

Any breach of this policy must be reported to the Chief Executive Officer as soon as the breach is discovered. Individuals who observe corrupt behaviour in their colleagues (eg accepting a bribe) can also make a protected disclosure directly to IBAC. Council will take decisive action, including possible disciplinary action, against individuals who discriminate against or victimise those who speak up in good faith.

The policy on the offering and provision of gifts, benefits and hospitality will be communicated to contractors, consultants and other business associates. Those identified as acting inconsistently with this policy may be subject to contract re-negotiation, including termination.

For further information on managing breaches of this policy, please contact the Manager Governance & Civic Support.

Council Plan Objective/Strategy

Improve organisational effectiveness

Legislation and Standards

Local Government Act 1989

Protected Disclosures Procedures

Procurement Policy

Responsibilities

Council, through its policies, processes and audit committee, will ensure gifts, benefits and hospitality are appropriately assessed and managed. Individuals with direct reports will ensure they are aware of the risks inherent in their team's work and functions and monitor the risks to which their direct reports are exposed, model good practice and promote awareness of relevant policy and processes.

The Manager Governance & Civic Support is responsible to:

- Establish, implement and review the policy.
- Provide communication and training in the management of gifts, benefits and hospitality and the identification of conflicts of interest.
- Establish and maintain a register of gifts, benefits and hospitality offered to individuals that, at a minimum, records sufficient information to effectively assess and report on accountabilities.
- Undertake risk-based monitoring of the policy, processes and register.

All enquiries about this policy should be directed to the Manager Governance & Civic Support.

Stakeholders

This policy applies to all Northern Grampians Shire Council Councillors, employees, contractors, consultants and any individuals undertaking an activity for and on behalf of the council. It also extends to include subcontractors working in-house, staff on exchange, volunteers, work experience students or graduate placements who perform a function for Council.

Review

An assessment of the policy will be undertaken every four years to align with the Council term to ensure it remains current with the council's goals, processes, aims and requirements and as a means by which to reduce Council's exposure to risk. Triggers for an earlier assessment include legislative changes and the introduction of new systems or procedures.

Communication and implementation

Council's position on gifts, benefits and hospitality will be communicated to all stakeholders, business associates, clients, customers and suppliers including that an offer should **not be made** and will **be refused** if it conflicts with the minimum accountabilities within the policy. Targeted communication eg lead up to Christmas will remind people of their responsibilities to help build an ethical reputation.

The policy will be made available in Codes of Conduct, Docs on Tap, the EDRMS and council website and referred to in inductions, contracts and other council documents and ongoing training provided via newsletters and the GoverniNG site.

References

Victorian Public Sector Commission Gifts, Benefits & Hospitality Resource Suite
Councillor Code of Conduct
Staff Code of Conduct
Procurement Policy

Privacy and Data Protection compliance

Protection of personal information has been identified and restricted access will be set on the Gifts, Benefits & Hospitality Register in elementORG and associated EDRMS folder to the Executive Leadership and Governance teams. The council's public register which will be published on the Council's website will contain a subset of the information detailed in the internal register to exclude personal information.

Charter of Human Rights compliance

It is considered that this policy does not impact on any human rights identified in the *Charter of Human Rights & Responsibilities Act 2006*.

Definitions:

Benefit:	<ul style="list-style-type: none"> • Something of value to the receiver and includes preferential treatment, privileged access, favours or other advantage offered eg tickets to sporting and other events, discounts and loyalty programs, promises of a new job. • The value of benefits may be difficult to define in dollars but may be used to influence behaviour.
Conflict of interest:	<p>Actual - There is a real conflict between an individual's public duties and private interests.</p> <p>Potential - An individual has private interests that could conflict with their public duties. This refers to circumstances where it is foreseeable that a conflict may arise in the future and steps should be taken now to mitigate that future risk.</p> <p>Perceived - The public or a third party could reasonably form the view that an individual's private interests could improperly influence their decisions or actions, now or in the future.</p> <p>Activities that have a higher risk of conflict of interest include:</p> <ul style="list-style-type: none"> • Procurement and recruitment • Regulating individual or business activities • Distributing goods, services or funds • Making binding decisions
Gift:	Free or discounted item or service that would generally be seen by the public as a gift, including an item of high value (eg artwork, jewellery or expensive pens), low value (eg small bunch of flowers, chocolates) and services (eg painting and repairs).
Hospitality:	A reception and entertainment of guests. Hospitality may range from light refreshments at a business meeting to expensive restaurant meals and sponsored travel and accommodation. It can include a meal or service which is not connected to official council business or part of a meal accepted at a conference or similar.

Review history

Date	Review details	Action
30 April 2019	Policy reported to EMAH	Noted
28 May 2019	Policy adopted by ELT	Policy adopted